

# Strict form of stratified set theories NF and NFU in the setting of sequent calculus

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# Plan of the Talk

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Terms  $t, t', t_1, \dots$  are either variables  $x, y, z$  (bound),  $a, b, c$  (free) or set abstracts  $s$ .

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COM:  $\forall x(x \in \{y : \varphi(y)\} \leftrightarrow \varphi[y/x])$ , where  $\varphi$  is stratified.

$$EXT': \forall x(\varphi(x) \leftrightarrow \psi(x)) \rightarrow \{x : \varphi(x)\} = \{x : \psi(x)\}$$

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NFU replaces *EXT* with:

$$EXTU: \forall xy(\exists z(z \in x) \wedge \forall z(z \in x \leftrightarrow z \in y) \rightarrow x = y)$$

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$\vdash \{x : x \in x\} = \{x : x \in x\}$  is a thesis of NF (provable by *EXT*) but not of SNF, in fact it is not even well-formed formula since  $\{x : x \in x\}$  is not a term in the language of SNF.

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*EXT'*  $\forall x(\varphi(x) \leftrightarrow \psi(x)) \rightarrow \{x : \varphi(x)\} = \{x : \psi(x)\}$  can be dropped from axiomatization since it is a thesis of SNF but restricted to stratified  $\varphi, \psi$  (is provable in SNF by *EXT* and *COM*, in contrast to NF).

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# Constructing Sequent Calculi for term-forming operators (set-abstracts in particular):

The main problems to overcome:

- 1 How to avoid the problem with the lost subformula-property for  $(\Rightarrow \exists)$  and  $(\forall \Rightarrow)$ ?
- 2 How to formulate the rules for LL to avoid clash on cut-formulae generated by means of rules for set abstracts?



# The basic system GC for pure CFOL:

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$$(Cut) \frac{\Gamma \Rightarrow \Delta, \varphi \quad \varphi, \Pi \Rightarrow \Sigma}{\Gamma, \Pi \Rightarrow \Delta, \Sigma}$$

$$(AX) \varphi, \Gamma \Rightarrow \Delta, \varphi$$

$$(\neg \Rightarrow) \frac{\Gamma \Rightarrow \Delta, \varphi}{\neg \varphi, \Gamma \Rightarrow \Delta}$$

$$(\Rightarrow \neg) \frac{\varphi, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \varphi}$$

$$(W \Rightarrow) \frac{\Gamma \Rightarrow \Delta}{\varphi, \Gamma \Rightarrow \Delta}$$

$$(\Rightarrow \wedge) \frac{\Gamma \Rightarrow \Delta, \varphi \quad \Gamma \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \wedge \psi}$$

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where  $a$  is a fresh parameter (eigenvariable), not present in  $\Gamma, \Delta$  and  $\varphi$ .

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where  $a$  is a fresh parameter (eigenvariable), not present in  $\Gamma, \Delta$  and  $\varphi$ .

Note that set abstracts are not allowed as instances of variables in quantifier rules! (problem 1)

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Note! all results obtained below for classical variant hold also for intuitionistic one.

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- 4 Addition of new rules corresponding to axioms.

# Rules for = (Rule-maker theorem Indrzejczak 2013)

$$(1 =) \frac{t = t, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad \text{for Ref and the following for LL:}$$

$$(2 =) \frac{\varphi[x/t_2], \Gamma \Rightarrow \Delta}{t_1 = t_2, \varphi[x/t_1], \Gamma \Rightarrow \Delta} \quad (3 =) \frac{\Gamma \Rightarrow \Delta, \varphi[x/t_1]}{t_1 = t_2, \Gamma \Rightarrow \Delta, \varphi[x/t_2]}$$

$$(4 =) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2}{\varphi[x/t_1], \Gamma \Rightarrow \Delta, \varphi[x/t_2]}$$

$$(5 =) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2 \quad \Gamma \Rightarrow \Delta, \varphi[x/t_1]}{\Gamma \Rightarrow \Delta, \varphi[x/t_2]}$$

$$(6 =) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2 \quad \varphi[x/t_2], \Gamma \Rightarrow \Delta}{\varphi[x/t_1], \Gamma \Rightarrow \Delta}$$

$$(7 =) \frac{\Gamma \Rightarrow \Delta, \varphi[x/t_1] \quad \varphi[x/t_2], \Gamma \Rightarrow \Delta}{t_1 = t_2, \Gamma \Rightarrow \Delta}$$

$$(8 =) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2 \quad \Gamma \Rightarrow \Delta, \varphi[x/t_1] \quad \varphi[x/t_2], \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

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$$(R) \frac{b = b, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad (N) \frac{a = s, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad (E) \frac{\Gamma \Rightarrow \Delta, t = b \quad \Gamma \Rightarrow \Delta, t = c}{\Gamma \Rightarrow \Delta, b = c}$$

$$(\in 1) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2 \quad \Gamma \Rightarrow \Delta, t_1 \in t_3}{\Gamma \Rightarrow \Delta, t_2 \in t_3} \quad (\in 2) \frac{\Gamma \Rightarrow \Delta, t_1 = t_2 \quad \Gamma \Rightarrow \Delta, t_3 \in t_1}{\Gamma \Rightarrow \Delta, t_3 \in t_2}$$

$$(\Rightarrow 1) \frac{\Gamma \Rightarrow \Delta, t[b] \quad \varphi[x/b], \Gamma \Rightarrow \Delta}{t \approx \{x : \varphi\}, \Gamma \Rightarrow \Delta} \quad (\Rightarrow 2) \frac{\Gamma \Rightarrow \Delta, \varphi[x/b] \quad t[b], \Gamma \Rightarrow \Delta}{t \approx \{x : \varphi\}, \Gamma \Rightarrow \Delta}$$

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where:  $a$  is fresh in  $(N)$ ,  $(\Rightarrow)$ ,  $t$  is arbitrary term,  $s$  is arbitrary set abstract,  $t[b]$  is either  $b \in t$ , if  $t$  is a parameter or  $\varphi[x/b]$ , if  $t := \{x : \varphi\}$ .

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where:  $a$  is fresh in  $(N)$ ,  $(\Rightarrow)$ ,  $t$  is arbitrary term,  $s$  is arbitrary set abstract,  $t[b]$  is either  $b \in t$ , if  $t$  is a parameter or  $\varphi[x/b]$ , if  $t := \{x : \varphi\}$ .

Since  $\approx$  means that either  $c = t$  or  $t = c$  we have in fact six rules for abstract operator in two last lines (even 12 if we distinguish variants with  $t$  being parameter or set abstract)

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$$(\Rightarrow \exists) \frac{\frac{\Rightarrow \{x : \varphi\} = \{x : \varphi\}}{\Rightarrow \exists x(x = \{x : \varphi\})} \quad \frac{a = \{x : \varphi\}, \Gamma \Rightarrow \Delta}{\exists x(x = \{x : \varphi\}), \Gamma \Rightarrow \Delta}}{\Gamma \Rightarrow \Delta} \begin{array}{l} (\exists \Rightarrow) \\ (Cut) \end{array}$$

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$(E)$ ,  $(\in 1)$ ,  $(\in 2)$  are all derivable by two cuts with suitable instances of  $t = t'$ ,  $\varphi[x/t] \Rightarrow \varphi[x/t']$  and contractions.

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For ( $:\Rightarrow 2$ ) dual proofs.

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By cut with  $\forall x(x \in c \leftrightarrow x \in \{x : \varphi\}) \Rightarrow c = \{x : \varphi\}$  we obtain  $\Gamma \Rightarrow \Delta, c = \{x : \varphi\}$ .

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The remaining variants of ( $\Rightarrow$  :) proven similarly.

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- 1  $t_1 = t_2, t_1 = t_3 \Rightarrow t_2 = t_3$
- 2  $t_1 = t_2, t_1 \in t_3 \Rightarrow t_2 \in t_3$
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Proofs of cases 2 and 3, as well as  $\Rightarrow s = s$  and  $t_1 = t_2 \Rightarrow t_2 = t_1$  are immediate.

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Case 1  $t_1 = t_2, t_1 = t_3 \Rightarrow t_2 = t_3$  requires 8 subcases to derive ( $t_i$  being either parameter or set abstract).

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Case 1  $t_1 = t_2, t_1 = t_3 \Rightarrow t_2 = t_3$  requires 8 subcases to derive ( $t_i$  being either parameter or set abstract).

- 1  $a = b, a = c \Rightarrow b = c$
- 2  $\{x : \varphi\} = b, \{x : \varphi\} = c \Rightarrow b = c$
- 3  $a = \{x : \varphi\}, a = c \Rightarrow \{x : \varphi\} = c$
- 4  $a = b, a = \{x : \varphi\} \Rightarrow b = \{x : \varphi\}$
- 5  $\{x : \varphi\} = \{x : \psi\}, \{x : \varphi\} = c \Rightarrow \{x : \psi\} = c$
- 6  $a = \{x : \varphi\}, a = \{x : \psi\} \Rightarrow \{x : \varphi\} = \{x : \psi\}$
- 7  $\{x : \psi\} = b, \{x : \psi\} = \{x : \varphi\} \Rightarrow b = \{x : \varphi\}$
- 8  $\{x : \varphi\} = \{x : \psi\}, \{x : \varphi\} = \{x : \chi\} \Rightarrow \{x : \psi\} = \{x : \chi\}$

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Proving LL, subcase 1.3:

$$\begin{array}{c}
 \frac{\varphi[x/b] \Rightarrow \varphi[x/b] \quad \frac{a = c \Rightarrow a = c \quad b \in a \Rightarrow b \in a}{b \in a, a = c \Rightarrow b \in c} (\Rightarrow 1)}{\varphi[x/b], a = \{x : \varphi\}, a = c \Rightarrow b \in c} (\Rightarrow 2)}{D} \\
 \hline
 a = \{x : \varphi\}, a = c \Rightarrow \{x : \varphi\} = c \quad (\Rightarrow)
 \end{array}$$

where  $D$  is:

$$(E) \frac{\frac{a = c \Rightarrow a = c \quad \frac{a = a \Rightarrow a = a}{\Rightarrow a = a} (R)}{(E2) \quad a = c \Rightarrow c = a} \quad \frac{b \in c \Rightarrow b \in c}{(\Rightarrow 1) \quad c = a, b \in c \Rightarrow b \in a} \quad \frac{\varphi[x/b] \Rightarrow \varphi[x/b]}{b \in c, a = \{x : \varphi\}, a = c \Rightarrow \varphi[x/b]}$$



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Proving COM:

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$$\begin{array}{c}
 (\in 2) \frac{b = \{x : \varphi\} \Rightarrow \{x : \varphi\} = b \quad a \in \{x : \varphi\} \Rightarrow a \in \{x : \varphi\}}{b = \{x : \varphi\}, a \in \{x : \varphi\} \Rightarrow a \in b} \\
 (N) \frac{a \in \{x : \varphi\} \Rightarrow a \in b}{(\Rightarrow \leftrightarrow) \frac{\Rightarrow a \in \{x : \varphi\} \leftrightarrow \varphi[y/a]}{\Rightarrow \forall x(x \in \{y : \varphi(y)\} \leftrightarrow \varphi[y/x])}} \quad D
 \end{array}$$

where the leftmost leaf is easily provable by  $(\Rightarrow :)$ ,  $(: \Rightarrow 2)$  and  $D$  is:

$$\frac{\varphi[x/a] \Rightarrow \varphi[x/a] \quad \frac{b = \{x : \varphi\} \Rightarrow b = \{x : \varphi\} \quad a \in b \Rightarrow a \in b}{a \in b, b = \{x : \varphi\} \Rightarrow a \in \{x : \varphi\}} (\in 2)}{b = \{x : \varphi\}, \varphi[x/a] \Rightarrow a \in \{x : \varphi\}} (: \Rightarrow 2)}{\varphi[x/a] \Rightarrow a \in \{x : \varphi\}} (N)$$

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$$\begin{array}{c}
 \frac{c \in b \Rightarrow c \in b \quad c \in b \Rightarrow c \in b}{\Rightarrow \{x : x \in b\} = b} (\Rightarrow:) \\
 \frac{D}{\Rightarrow \{x : x \in b\} = b} (E) \\
 \frac{(\Rightarrow \rightarrow) \frac{\forall z(z \in a \leftrightarrow z \in b) \Rightarrow a = b}{\Rightarrow \forall z(z \in a \leftrightarrow z \in b) \rightarrow a = b}}{(\Rightarrow \forall) \frac{\Rightarrow \forall z(z \in a \leftrightarrow z \in b) \rightarrow a = b}{\Rightarrow \forall xy(\forall z(z \in x \leftrightarrow z \in y) \rightarrow x = y)}}
 \end{array}$$

where  $D$  is:

$$(\forall \Rightarrow) \frac{c \in a \leftrightarrow c \in b, c \in a \Rightarrow c \in b \quad c \in a \leftrightarrow c \in b, c \in b \Rightarrow c \in a}{(\Rightarrow:) \frac{\forall z(z \in a \leftrightarrow z \in b), c \in a \Rightarrow c \in b \quad \forall z(z \in a \leftrightarrow z \in b), c \in b \Rightarrow c \in a}{\forall z(z \in a \leftrightarrow z \in b) \Rightarrow \{x : x \in b\} = a}}$$

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- 8 The system is analytic in the generalised sense due to 3 and 4.



$$(R) \frac{b = b, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad (N) \frac{a = s, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad (AV) \frac{\{x : \varphi(x)\} = \{y : \varphi(y)\}, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

$$(E1) \frac{b = c, \Gamma \Rightarrow \Delta}{a = b, a = c, \Gamma \Rightarrow \Delta} \quad (L1) \frac{b \in c, \Gamma \Rightarrow \Delta}{a = b, a \in c, \Gamma \Rightarrow \Delta} \quad (L2) \frac{c \in b, \Gamma \Rightarrow \Delta}{a = b, c \in a, \Gamma \Rightarrow \Delta}$$

$$(E2) \frac{\Gamma \Rightarrow \Delta, s = a, \quad \Pi \Rightarrow \Sigma, s = b \quad a = b, \Theta \Rightarrow \Xi}{\Gamma, \Pi, \Theta \Rightarrow \Delta, \Sigma, \Xi} \quad (\in \Rightarrow 1) \frac{\varphi[x/b], \Gamma \Rightarrow \Delta}{b \in \{x : \varphi\}, \Gamma \Rightarrow \Delta}$$

$$(E3) \frac{\Gamma \Rightarrow \Delta, t = t' \quad \Pi \Rightarrow \Sigma, t = s \quad t' \approx s, \Theta \Rightarrow \Xi}{\Gamma, \Pi, \Theta \Rightarrow \Delta, \Sigma, \Xi} \quad (\Rightarrow \in 1) \frac{\Gamma \Rightarrow \Delta, \varphi[x/b]}{\Gamma \Rightarrow \Delta, b \in \{x : \varphi\}}$$

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$$(\Rightarrow 1) \frac{\Gamma \Rightarrow \Delta, t[b] \quad \varphi[x/b], \Gamma \Rightarrow \Delta}{t \approx \{x : \varphi\}, \Gamma \Rightarrow \Delta} \quad (\Rightarrow 2) \frac{\Gamma \Rightarrow \Delta, \varphi[x/b] \quad t[b], \Gamma \Rightarrow \Delta}{t \approx \{x : \varphi\}, \Gamma \Rightarrow \Delta}$$

$$(\Rightarrow : ) \frac{t[a], \Gamma \Rightarrow \Delta, \varphi[x/a] \quad \varphi[x/a], \Gamma \Rightarrow \Delta, t[a]}{b \in t', \Gamma \Rightarrow \Delta, t \approx \{x : \varphi\}},$$

where:  $a$  is fresh in  $(N)$ ,  $(\Rightarrow :)$ ,  $(\in \Rightarrow 2)$ ,  $t[b]$  is either  $b \in t$ , if  $t$  is a parameter or  $\psi[x/b]$ , if  $t := \{x : \psi\}$ ,  $t'$  in  $(\Rightarrow :)$  is the rightmost argument of  $t \approx \{x : \varphi\}$ .



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*Let  $\Gamma \Rightarrow \Delta$ ,  $t = s$  be the left premiss of (S) or (E3) and the conclusion of arbitrary rule  $r$ , then the application of the rules can be permuted.*

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## Theorem (Consistency)

*No proof in GSNFU ends with an empty sequent.*

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